



MURPHY CAPITAL MANAGEMENT

SEC Registered Investment Adviser

A Subsidiary of Peapack-Gladstone Bank

Item 1 Cover Page

A.

Peter Jonathan Lewis

Murphy Capital Management, Inc.
500 Hills Drive - Suite 200
Bedminster, New Jersey 07921

ADV Part 2B, Brochure Supplement
Dated: February 22, 2021

Contact: Karen J. Alvarado, Chief Compliance Officer

B.

This Brochure Supplement provides information about Peter Jonathan Lewis that supplements the Murphy Capital Management, Inc. (“Murphy Capital”) Brochure. You should have received a copy of that Brochure. Please contact Karen J. Alvarado, Chief Compliance Officer if you did *not* receive Murphy Capital’s Brochure or if you have any questions about the contents of this Brochure Supplement.

Additional information about Peter Jonathan Lewis is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Mr. Lewis joined Murphy Capital Management in 2004. From September 2004 to December 2018, Mr. Lewis was an investment advisor representative and senior portfolio manager of Murphy Capital Management Inc. At the end of 2018, Mr. Lewis was appointed President of Murphy Capital Management Inc. Prior to Murphy Capital Management, Mr. Lewis worked for a start-up overseeing capital investments, and an asset management firm structuring and managing investment portfolios. Mr. Lewis was also a partner at a boutique investment advisory firm where he was responsible for the management of day-to-day operations and provided specialized portfolio management strategies to their high-net-worth clientele. Other professional experience includes a Long/Short Manhattan based hedge fund where he co-managed the firm’s flagship growth fund, and Goldman Sachs & Co., where he was a “Team Leader” and served on various committees and firm-wide Global Initiatives. Mr. Lewis holds a BA degree in History, with a Minor in Political Science from Caldwell University, and an MA degree in International Affairs from Drew University.



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Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

None.

Item 6 Supervision

Murphy Capital provides investment advisory and supervisory services in accordance with Murphy Capital's policies and procedures manual. The primary purpose of Murphy Capital's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). Murphy Capital's Chief Compliance Officer, Karen J. Alvarado, is primarily responsible for the implementation of Murphy Capital's policies and procedures and overseeing the activities of Murphy Capital's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of Murphy Capital have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding Murphy Capital's supervision or compliance practices, please contact Ms. Alvarado at (201) 285-6178.



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A.

Joe W. Kwok

Murphy Capital Management, Inc.
500 Hills Drive - Suite 200
Bedminster, New Jersey 07921

ADV Part 2B, Brochure Supplement
Dated: February 22, 2021

Contact: Karen J. Alvarado, Chief Compliance Officer

B.

This Brochure Supplement provides information about Joe W. Kwok that supplements the Murphy Capital Management, Inc. ("Murphy Capital") Brochure. You should have received a copy of that Brochure. Please contact Karen J. Alvarado, Chief Compliance Officer if you did *not* receive Murphy Capital's Brochure or if you have any questions about the contents of this Brochure Supplement.

Additional information about Joe W. Kwok is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Mr. Kwok graduated from Stanford University with a degree in Biological Sciences and a minor in Economics. Mr. Kwok attended New York University and received his Master's degree in Economics. Since August 2017, Mr. Kwok has been a Senior Managing Director and a Senior Portfolio Manager for Murphy Capital Management Inc. From September 2010 to August 2017, Mr. Kwok was an investment adviser representative and portfolio manager of Murphy Capital Management Inc. Mr. Kwok was previously employed at Jefferies & Company, Inc. from July 2007 to May 2009 as Vice President and at Putnam Lovell NBF Securities Inc. from June 2000 to July 2007 as an Analyst Associate and Vice President.



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Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business.

Item 5 Additional Compensation

Mr. Kwok is eligible to participate in an employee incentive plan that provides compensation for referring business to Peapack Private Wealth Management, a division of Peapack-Gladstone Bank. The plan provides employees the ability to earn one-time incentive payment based on a percentage of revenue generated from new clients, and for assets added to a new and existing client relationship. Incentive payments are not made for Peapack-Gladstone Bank products (i.e. deposit accounts, CD's etc.), although referral activity for bank products may be taken into consideration for discretionary bonus awards. A copy of the incentive plan is available for review in-person upon request. Clients are not under any obligation to use Peapack-Gladstone Bank or any of its affiliates.

Item 6 Supervision

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MURPHY CAPITAL MANAGEMENT

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Item 1 Cover Page

A.

Thomas W. Myers

Murphy Capital Management, Inc.
500 Hills Drive - Suite 200
Bedminster, New Jersey 07921

ADV Part 2B, Brochure Supplement
Dated: February 22, 2021

Contact: Karen J. Alvarado, Chief Compliance Officer

B.

This Brochure Supplement provides information about Thomas W. Myers that supplements the Murphy Capital Management, Inc. (“Murphy Capital”) Brochure. You should have received a copy of that Brochure. Please contact Karen J. Alvarado, Chief Compliance Officer if you did *not* receive Murphy Capital’s Brochure or if you have any questions about the contents of this Brochure Supplement.

Additional information about Thomas W. Myers is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Mr. Myers graduated from the College of William & Mary in 1986, with a Bachelor of Arts degree in Government. Since August 2017, Mr. Myers has been a Managing Director and a Wealth Advisor for Murphy Capital Management, Inc. From December 2015 to April 2017, Mr. Myers was a Regional Vice President at Fisher Investments. From March 2014 to December 2015, he was a Registered Representative at USAA Financial Advisors, Inc. and he was also a Wealth Manager at USAA Financial Planning Services. From May 2007 to June 2013, Mr. Myers was a Financial Planning Consultant at Fidelity Brokerage Services.

Mr. Myers has been a CERTIFIED FINANCIAL PLANNER™ since 2010. The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (collectively, the “CFP® marks”)



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are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 83,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must currently satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 6 hours, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must currently complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.



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CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business.

Item 5 Additional Compensation

Mr. Myers is eligible to participate in an employee incentive plan that provides compensation for referring business to Peapack Private Wealth Management, a division of Peapack-Gladstone Bank. The plan provides employees the ability to earn one-time incentive payment based on a percentage of revenue generated from new clients, and for assets added to a new and existing client relationship. Incentive payments are not made for Peapack-Gladstone Bank products (i.e. deposit accounts, CD's etc.), although referral activity for bank products may be taken into consideration for discretionary bonus awards. A copy of the incentive plan is available for review in-person upon request. Clients are not under any obligation to use Peapack-Gladstone Bank or any of its affiliates.

Item 6 Supervision

Murphy Capital provides investment advisory and supervisory services in accordance with Murphy Capital's policies and procedures manual. The primary purpose of Murphy Capital's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). Murphy Capital's Chief Compliance Officer, Karen J. Alvarado, is primarily responsible for the implementation of Murphy Capital's policies and procedures and overseeing the activities of Murphy Capital's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of Murphy Capital have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding Murphy Capital's supervision or compliance practices, please contact Ms. Alvarado at (201) 285-6178.



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Item 1 Cover Page

A.

David Michael Fullam

Murphy Capital Management, Inc.
500 Hills Drive - Suite 200
Bedminster, New Jersey 07921

ADV Part 2B, Brochure Supplement
Dated: February 22, 2021

Contact: Karen J. Alvarado, Chief Compliance Officer

B.

This Brochure Supplement provides information about David Michael Fullam that supplements the Murphy Capital Management, Inc. ("Murphy Capital") Brochure. You should have received a copy of that Brochure. Please contact Karen J. Alvarado, Chief Compliance Officer if you did *not* receive Murphy Capital's Brochure or if you have any questions about the contents of this Brochure Supplement.

Additional information about David Michael Fullam is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

David Michael Fullam joined Murphy Capital Management, Inc. at the end of 2018. Mr. Fullam has worked at Peapack Private Wealth Management, a division of Peapack-Gladstone Bank since 2015. Mr. Fullam holds a BSBA degree in Economics and Finance from the University of Hartford, Barney School of Business as well as an MBA with a concentration in Finance. Mr. Fullam is a Peapack-Gladstone Bank 401k Board Member and holds a Producer's Life, Accident and Health License in New Jersey.



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Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business.

Item 5 Additional Compensation

Mr. Fullam is eligible to participate in an employee incentive plan that provides compensation for referring business to Peapack Private Wealth Management, a division of Peapack-Gladstone Bank. The plan provides employees the ability to earn one-time incentive payment based on a percentage of revenue generated from new clients, and for assets added to a new and existing client relationship. Incentive payments are not made for Peapack-Gladstone Bank products (i.e. deposit accounts, CD's etc.), although referral activity for bank products may be taken into consideration for discretionary bonus awards. A copy of the incentive plan is available for review in-person upon request. Clients are not under any obligation to use Peapack-Gladstone Bank or any of its affiliates.

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Item 1 Cover Page

A.

Gary Todd Pancoast

Murphy Capital Management, Inc.
500 Hills Drive – Suite 200
Bedminster, New Jersey 07921

ADV Part 2B, Brochure Supplement
Dated: February 22, 2021

Contact: Karen J. Alvarado, Chief Compliance Officer

B.

This Brochure Supplement provides information about Gary Todd Pancoast that supplements the Murphy Capital Management, Inc. (“Murphy Capital”) Brochure. You should have received a copy of that Brochure. Please contact Karen J. Alvarado, Chief Compliance Officer if you did *not* receive Murphy Capital’s Brochure or if you have any questions about the contents of this Brochure Supplement.

Additional information about Gary Todd Pancoast is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Mr. Pancoast is an experienced Wealth Advisor responsible for providing high-net-worth clients fully integrated solutions, designed to provide multi-generational support. A seasoned and successful leader in the wealth management business, Mr. Pancoast has more than 27 years of experience in wealth management, along with expertise in portfolio management, client acquisition, estate planning and private banking.

Mr. Pancoast joined Murphy Capital Management Inc. in February 2020. Mr. Pancoast has worked at Peapack Private, the Wealth Management division of Peapack-Gladstone Bank, since 2013. Before joining Peapack Private Wealth Management, Mr. Pancoast held a position at US Trust /Bank of America Private Wealth Management, directing high-performing teams focused on innovative client development strategies and first-class portfolio management, asset allocation, tax



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and estate planning, private banking services as maintaining a leadership position in developing the Charles Schwab & Merrill Lynch referral channels.

Mr. Pancoast holds a Bachelor of Science Degree in Finance from Farleigh Dickinson University. He is also a graduate of the Executive Certificate Program at Stanford University. Mr. Pancoast is a graduate of the Cannon Financial Institute and Trust School where he is a Certified Trust Financial Advisor Candidate and is currently pursuing Accredited Investment Fiduciary and Certified Wealth Strategist certifications.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business.

Item 5 Additional Compensation

Mr. Pancoast is eligible to participate in an employee incentive plan that provides compensation for referring business to Peapack Private Wealth Management, a division of Peapack-Gladstone Bank. The plan provides employees the ability to earn one-time incentive payment based on a percentage of revenue generated from new clients, and for assets added to a new and existing client relationship. Incentive payments are not made for Peapack-Gladstone Bank products (i.e. deposit accounts, CD's etc.), although referral activity for bank products may be taken into consideration for discretionary bonus awards. A copy of the incentive plan is available for review in-person upon request. Clients are not under any obligation to use Peapack-Gladstone Bank or any of its affiliates.

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thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding Murphy Capital's supervision or compliance practices, please contact Ms. Alvarado at (201) 285-6178.